



Solo(k) Tax Certificate Investment Authorization

NOTE: All tax certificate investments are subject to an administrative review by Pacific Premier Trust. Please provide the application for purchase if applicable.

1 ACCOUNT OWNER INFORMATION

Form section 1: Account Owner Information. Includes fields for Account Owner Name, Pacific Premier Account No., and Amount for the account owner and up to three sub-accounts.

2 FUNDING INSTRUCTIONS

I authorize and direct Pacific Premier Trust to: (please select one option below)

Purchase

Form section 2 (Purchase): Includes fields for County, Auction Date S/B, Total Investment Amount, Payee Name, Phone No., Street Address, City, Country, State/Province, and Postal Code.

Sell

Form section 2 (Sell): Includes fields for Purchaser Name, Phone No., Street Address, City, Country, State/Province, and Postal Code.

Transfer

Form section 2 (Transfer): Includes fields for Present Trust/Custodian Name, Phone No., Street Address, City, Country, State/Province, and Postal Code.



## 2 FUNDING INSTRUCTIONS (CONTINUED)

Check

PAYEE NAME*		PHONE NO.*	
STREET ADDRESS*			
CITY*	COUNTRY*	STATE/PROVINCE*	POSTAL CODE*

Wire (Applicable fees will be assessed to your Account)

**NOTE:** Please write or type wire instructions below. Submitting incomplete information or attaching instructions separately will delay funding, as additional verification will be needed.

BANK NAME*		ABA NO.*	
BANK ACCOUNT NAME	BANK ACCOUNT NO.	REF NO.	

### Document Delivery Instructions (select one below):

Regular Mail

Overnight (charge my Pacific Premier Trust Account)

Overnight (use pre-addressed air bill, included)

Overnight (send via 3rd party billing) Account Number: \_\_\_\_\_

Fed Ex

UPS

## 3 ADMINISTRATIVE INFORMATION

As Account Owner, the original tax certificate should be issued to "Pacific Premier Trust, Custodian, FBO (client name), Solo(k)".

**Original tax certificate should be forwarded to Pacific Premier Trust after the investment is funded. A special services fee may apply if the tax certificate is not received.**

*The Account owner is responsible for obtaining copies of the executed documents for their records and review from the closing agent. If the originals are not to be held by a third party, copies should be provided to Pacific Premier Trust.*

**ACKNOWLEDGMENT & SIGNATURE REQUIRED ON NEXT PAGE**

#### 4 ACKNOWLEDGMENT & SIGNATURE

1. I understand that I am responsible, and Pacific Premier Trust and its related entities are not responsible, for selecting and reviewing the above investment and for determining the suitability, nature, value, risk, safety and merits of the investment that I authorize Pacific Premier Trust to make for my Account.
2. I understand that Pacific Premier Trust and its related entities are not related to or affiliated with the management or selling agent(s) of the investment(s) that I have directed Pacific Premier Trust to purchase for my account. I acknowledge that Pacific Premier Trust has not reviewed, recommended or commented on the investment merits, risks, suitability or management of the asset(s) I have selected and I authorize Pacific Premier Trust to process this transaction. I therefore agree to release, indemnify, defend, and hold Pacific Premier Trust and its related entities harmless from any claims arising out of making such investment. I also understand and agree that Pacific Premier Trust and its related entities will not be responsible to take any action should the investment noted herein become subject to default, including fraud, insolvency, bankruptcy, or other court order or legal process.
3. I agree that any dispute regarding this investment shall be submitted to binding arbitration pursuant to the Commercial Rules of the American Arbitration Association and the terms of the Custodial Agreement. I understand that the prevailing party shall be entitled to recover all legal fees, reasonable costs and expenses and that these shall be in addition to any award of damage or any other relief to which the prevailing party is entitled.
4. I have consulted my own attorney and hereby represent that Pacific Premier Trust may hold title to this tax lien certificate or its collateral, where applicable. I hereby represent to Pacific Premier Trust that I understand the risks involved with this investment, specifically, that there may be liability above and beyond the amount of the investment in the collateral property (example: ad valorem property taxes on the property or liability arising under Environment Laws). The losses will include any losses caused by, or arising out of, the presence, on or about the Property, of an Hazardous Substances, or any person or entity complying or failing to comply with an Environment Law. The term "Environmental Law" means any law, rule, regulation, or ordinance related to protection of the environment or human health. The term "Hazardous Substance" means any substance defined as hazardous or toxic, or otherwise regulated by any Environmental Law.
5. I represent that the above investment is not a prohibited transaction, as defined in the Internal Revenue Code and Department of Labor regulations.
6. I acknowledge that this investment is not insured by the FDIC, is not an obligation of or guaranteed by Pacific Premier Trust and is subject to risk, including the possible loss of principal.
7. I agree that this Tax Lien Certificate Investment Authorization is further subject to all the terms and conditions of the Custodial Agreement.

ACCOUNT OWNER SIGNATURE	DATE (REQUIRED)
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NON-DEPOSIT INVESTMENT PRODUCTS ARE NOT INSURED BY THE FDIC; ARE NOT DEPOSITS OR OTHER OBLIGATIONS OF, OR GUARANTEED BY, THE BANK OR ANY OF ITS DIVISIONS; AND ARE SUBJECT TO INVESTMENT RISKS, INCLUDING POSSIBLE LOSS OF THE PRINCIPAL AMOUNT INVESTED.

**Upload forms to:**

[www.PacificPremierTrust.com/upload](http://www.PacificPremierTrust.com/upload)

Fax to: 303-614-7038

**Send mail to:**

Pacific Premier Trust  
Processing Center  
P.O. Box 173859  
Denver, CO 80217-3859

**For express deliveries:**

Pacific Premier Trust  
Processing Center  
1560 Broadway, Suite 400  
Denver, CO 80202-3331

**Questions?**

Call 800-962-4238