Subscription Agreement Requirements for Self-Directed IRA Investments

Use this list as a resource when filing subscription agreements and other documents related to private equity investment on behalf of your Columbia Private Trust IRA.

INVESTOR/SUBSCRIBER NAME

The investor/subscriber name should be written as:

- **Individual Accounts:**
  Columbia Private Trust. Custodian FBO (Client Name) IRA
- **Solo 401(k) Accounts:**
  Columbia Private Trust, Custodian FBO (Plan Name)

INVESTOR QUESTIONNAIRE

Not all subscription agreements contain an investor questionnaire; if your subscription agreement contains one, the investor will need to answer this questionnaire in its entirety and submit it alongside supporting documentation.

TAX IDENTIFICATION NUMBER (TAX ID)

Since your IRA is buying into a private equity investment, the Tax ID you include will be used anywhere the subscription agreement asks for a Social Security number. A defined benefit plan would use the plan's Tax ID. Use one of the following:

- **Columbia Private Trust Tax ID:** 93-0419143, or
- **Your IRA Tax ID**

SUBSCRIBER ADDRESS

Your subscription agreement may ask for the subscriber address or the beneficiary owner's address.

- **Subscriber mailing address:**
  Columbia Private Trust
  Processing Center
  P.O. Box 981012
  Boston, MA 02298
- **Subscriber physical address:**
  Columbia Private Trust
  1801 California St., Ste. 800
  Denver, CO 80202
- **Beneficial Owner address:**
  (Your address)

EMAIL ADDRESS

For the asset sponsor to communicate with Columbia Private Trust throughout the lifetime of your IRA investment, you will need to provide the sponsor with our email address, along with your own.

- **Columbia Private Trust email address:**
  assetmaintenance@columbiaprivatetrust.com

WIRE INSTRUCTIONS FOR DIVIDENDS AND/OR LIQUIDATIONS

In the event of a dividend payout from your private equity investment, or a full or partial asset liquidation, the cash proceeds from the transaction must be returned to your IRA. Once we receive the funds, they will post to your IRA account as instructed. If your subscription agreement asks for bank information, you will need to reference Columbia Private Trust's bank account.

- Routing: 322285781
  Account: 8000211010
  Account Name: Columbia Private Trust
  Other Beneficiary Information: FBO IRA Holder's Name,
  Account No. and Asset ID
  SWIFT BIC*: PPBIUS66

* To ensure our incoming international wires are routed correctly, please feel free to reach out to the wire department directly at deposits@columbiaprivatetrust.com for any routing questions.

SIGNATURE & APPROVAL

Investors must acknowledge each page of the subscription agreement by writing "Read & Approved" alongside their signature or initials. Once Columbia Private Trust receives the executed subscription documents, we will sign on behalf of the IRA and forward the executed documents to the private equity firm upon funding.

QUESTIONS?

Contact our Client Services team
Toll Free: 800.962.4238
clients@columbiaprivatetrust.com

NON-DEPOSIT INVESTMENT PRODUCTS ARE NOT INSURED BY THE FDIC; ARE NOT DEPOSITS OR OTHER OBLIGATIONS OF, OR GUARANTEED BY, THE BANK OR ANY OF ITS DIVISIONS; AND ARE SUBJECT TO INVESTMENT RISKS, INCLUDING POSSIBLE LOSS OF THE PRINCIPAL AMOUNT INVESTED.