

# Private Equity Worthless Asset Notification

## IMPORTANT INFORMATION

Please use this form if an investment is believed to be worthless and should be reviewed for removal from a client's account. If the information does not match Columbia Private Trust's records, or is left blank, the form will be returned to the initiator with an explanation regarding the discrepancy or for completion.

\* Indicates required information.

### 1. ACCOUNT OWNER & ASSET INFORMATION

ACCOUNT OWNER NAME (FIRST, MI, LAST)*		DATE*
SECURITY NAME*	CUSIP*	
ASSET SPONSOR NAME*	SPONSOR CONTACT (PHONE OR EMAIL)*	
REASON ASSET IS WORTHLESS*		

### 2. DOCUMENTATION

Please provide one or more of the following documents to be used to review the request to remove the asset from the account(s). **NOTE:** Columbia Private Trust will not review the request without one of the below documents. If the asset was paid off, this is not considered a worthless asset.

Letter from General Partner, Managing Member, President or CEO stating the asset is worthless or no longer exists. This will include an explanation as to why the asset/shares are now worthless.

Secretary of State screenshot showing the asset is dissolved, dead, void or cancelled.

**NOTE:** Recent involuntary actions (those not initiated by the company) may not be enough to remove the asset. An unacceptable status would include, but is not limited to, active or inactive; suspended or forfeited; FTD suspended or FTF forfeited; or merged out.

Final K-1 Statement (Columbia Private Trust will call to confirm)

Notice of Chapter 7 bankruptcy final court document

### 3. SIGNATURE

By signing below, I agree that a.) I have not or will not receive funds personally, and b.) I, to the best of my knowledge, know this asset is truly worthless and has no value. I agree to release, indemnify, defend, and hold Columbia Private Trust and its related entities harmless from any claims arising out of this request including any damages, fees, costs or expenses arising therefrom.

	ACCOUNT OWNER SIGNATURE*	DATE*
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Please return this form, along with any supporting documentation, to Columbia Private Trust's Private Equity Maintenance Department at [www.ColumbiaPrivateTrust.com/Upload](http://www.ColumbiaPrivateTrust.com/Upload) or fax to 303.614.7036.

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