



Simple IRA Application

IMPORTANT INFORMATION:

In order to help the government fight the funding of terrorism and money laundering activities, Federal law requires all financial institutions to obtain, verify, and record information that identifies each client who opens an account. When you open an account, we will ask for your name, physical address, date of birth, Social Security number, and other information that will allow us to identify you. We may also ask to see your driver's license or other forms of identification.

YOUR SIGNATURE AND DATE ARE REQUIRED ON PAGE 4.

Please complete, sign and return this application with your contribution and applicable fee payment. Be sure to keep a copy for your records. Please print or type. All fields must be completed. If not applicable, please indicate by printing "N/A" or "None" where appropriate.

1. ACCOUNT OWNER INFORMATION

NAME (FIRST, MI, LAST) SOCIAL SECURITY NUMBER DATE OF BIRTH

GENDER: Female Male CITIZENSHIP\*: U.S. Other (specify):

If applicable, enter name of organization or individual referral, or promotion code:

\*Only U.S. Citizens, or other U.S. persons (including Resident Aliens) will be able to establish an account with Pacific Premier Trust.

2. CONTACT INFORMATION

OCCUPATION EMPLOYER (LEAVE BLANK IF SELF-EMPLOYED)

EMPLOYER ADDRESS

CITY COUNTY STATE POSTAL CODE

Source of Wealth

Salary/Wages/Savings Social security benefits Sale of property or business Family/relative inheritance
Investment capital gains Other - Please provide detailed explanation

NOTE: If you are employed with a financial services firm you may be required to notify your Compliance department so they can gain access to your account if needed.

2A. MAILING ADDRESS

STREET ADDRESS (IF P.O. BOX, PROVIDE PHYSICAL ADDRESS IN SECTION 2B BELOW)

CITY STATE/PROVINCE COUNTY POSTAL CODE

PRIMARY PHONE NO. EMAIL ADDRESS



**2B. LEGAL PHYSICAL ADDRESS**

- Required if different from mailing address, or if P.O. Box is provided above as mailing address.

LEGAL PHYSICAL ADDRESS – CANNOT BE A P.O. BOX			
CITY	STATE/PROVINCE	COUNTY	POSTAL CODE

\*This address will be used for any State tax reporting

**PLEASE BE AWARE THAT YOU WILL RECEIVE PRINTED QUARTERLY STATEMENTS BY MAIL.** You will be assessed a quarterly fee of \$10.00 for this service; this quarterly fee will be waived if you elect to receive your statements electronically. To waive this fee and receive electronic statements, you must register your Pacific Premier Trust account online at [PacificPremierTrust.com/register](http://PacificPremierTrust.com/register), then, you must elect to receive electronic statements through your online account.

**3. EMPLOYER INFORMATION**

EMPLOYER NAME			
EMPLOYER TAX ID NO.		PRIMARY PHONE NO.	
EMPLOYER ADDRESS			
CITY	COUNTY	STATE	POSTAL CODE

**4. INVESTMENT INFORMATION**

Please select all of the investment types you plan to hold in your IRA account. This information will help Pacific Premier Trust better serve the needs related to your investments. Selecting an asset type below is not intended as your instruction for a purchase or transfer, and we understand that your intentions may change over the life of your account. Your account investment options are not limited to your selection(s) below.

**Standard Assets** — includes: Mutual Funds, Money Markets, Stocks/Bonds (including exchange-traded LPs and ADRs), REITs (exchange-traded), Annuities, Managed Accounts, Brokerage Accounts, Certificates of Deposit (CDs), Mortgage-Backed Securities, Warrants, Treasuries, Strips, Rights.

**Alternative Assets**

- |                |  |   |
|----------------|--|---|
| Real Property  | Secured Notes (i.e. Trust Deeds/Mortgages)       | Unsecured Notes (i.e. Loans to a person or corporation) |
| Private Stock  | Limited Partnerships/Limited Liability Companies | REITs (Non-exchange traded and private)                 |
| Offshore Funds | Tax Liens  | Foreign Securities                                      |

**5. CHECK ENCLOSURE SUMMARY (CONTRIBUTION ALLOCATION)**

Employee Deferral Contribution	\$ _____
Employer Contribution	\$ _____
<b>Total Enclosed</b>	<b>\$ _____</b>

**6. UNINVESTED CASH**

I hereby direct Pacific Premier Trust to deposit all undirected and uninvested cash from any source, including but not limited to contributions, transfers, proceeds from asset sales and income and distributions from assets held in the custodial account, into deposit accounts with a Federal Deposit Insurance Corporation ("FDIC") insured bank (which may include Pacific Premier Bank), at the discretion of Pacific Premier Trust. I also acknowledge that the deposits at each bank will be insured by the FDIC up to the federal deposit insurance limits (currently \$250,000) and that any amount in excess of the legal limit will not be insured by the FDIC.

I further understand and agree that my account may have a minimum cash requirement and that fees are applicable to accounts that fall below the required minimum, as outlined in the Fee Schedule. Check your Fee Schedule to confirm if this applies and for details.



**7. BENEFICIARY DESIGNATION**

**\*\*All beneficiary designations are subject to an administrative review and acceptance by Pacific Premier Trust\*\***

I hereby designate the persons named herein as primary and contingent beneficiaries to receive my interest in this SIMPLE IRA according to the terms of the SIMPLE IRA Custodial Account Agreement, hereby revoking any such prior designations made by me. **(ATTACH ADDITIONAL SHEETS, IF NECESSARY.)**

- **Important note about community property rights.** If you live in a community property state and you are married, your spouse may have certain rights to your retirement account. You may wish to consult with your legal advisor(s) for guidance on community property rights.

I understand that, except as otherwise set forth in this IRA Beneficiary Designation or any attachment to this form, the terms of the SIMPLE IRA Custodial Account Agreement will govern with regard to the disposition of my account upon my death.

The total percentage for each level of beneficiary, both primary and contingent, must equal 100%. For example: if you are designating 3 beneficiaries with rights to equal portions of the account, the amount should reflect 33.33%, 33.33% and 33.34%. If your beneficiary designation request for each level of beneficiary does not total 100%, Pacific Premier Trust will not process the designation and will reach out for clarification.

If designating a Trust as a beneficiary, Pacific Premier Trust also requires a completed Trust Certification Form before adding any Trust beneficiaries. Pacific Premier Trust will not process a designation for a Trust beneficiary prior to receiving the completed Trust Certification Form.

An Account Owner's beneficiary designation must be on record with the Custodian prior to the Account Owner's death to be considered an effective designation.

**8. BENEFICIARY INFORMATION**

**8A. PRIMARY BENEFICIARY(IES)**

NAME (FIRST, MI, LAST)	DATE OF BIRTH	PHONE NO.	PERCENTAGE %
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SOCIAL SECURITY NO.	RELATIONSHIP
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LEGAL PHYSICAL ADDRESS
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CITY	STATE/PROVINCE	COUNTY	POSTAL CODE
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NAME (FIRST, MI, LAST)	DATE OF BIRTH	PHONE NO.	PERCENTAGE %
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SOCIAL SECURITY NO.	RELATIONSHIP
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LEGAL PHYSICAL ADDRESS
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CITY	STATE/PROVINCE	COUNTY	POSTAL CODE
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**8B. CONTINGENT BENEFICIARY(IES)**

NAME (FIRST, MI, LAST)	DATE OF BIRTH	PHONE NO.	PERCENTAGE %
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SOCIAL SECURITY NO.	RELATIONSHIP
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LEGAL PHYSICAL ADDRESS
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CITY	STATE/PROVINCE	COUNTY	POSTAL CODE
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NAME (FIRST, MI, LAST)	DATE OF BIRTH	PHONE NO.	PERCENTAGE %
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SOCIAL SECURITY NO.	RELATIONSHIP
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LEGAL PHYSICAL ADDRESS
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CITY	STATE/PROVINCE	COUNTY	POSTAL CODE
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**9. ACKNOWLEDGMENT & SIGNATURE**

I, the undersigned Participant (Account Owner) or Beneficiary in the case of an Inherited IRA, hereby establish an Individual Retirement Account (IRA) under the IRA Custodial Account Agreement, which is incorporated within this application by this reference. I designate Pacific Premier Trust, a division of Pacific Premier Bank as Custodian of this IRA and make the following declarations.

I have read, understand and agree to all of the terms as set forth in the IRA Application, IRA Custodial Account Agreement, Custodial Agreement Terms and Conditions Addendum and the IRA Disclosure Statement (collectively, "Plan Documents") and I have retained the Plan Documents, including a copy of this completed Application. I further specifically acknowledge that I have read, understand and agree to the Arbitration Statement that is part of the Plan Documents, and the Fee Schedule that is available at the Pacific Premier Trust website (www.PacificPremierTrust.com) and that Pacific Premier Trust provided me with this document. I acknowledge that a minimum cash requirement may apply to my Account, as stated in the Fee Schedule. If this requirement is not met, I understand additional fees may apply. I also understand that fees may not be prorated upon establishment or termination, and I also consent to have my conversations with Pacific Premier Trust recorded.

Pacific Premier Trust performs the duties of a directed custodian of assets for self-directed individual and business retirement accounts as well as other custodial accounts, and does not provide investment advice, sell investments or offer any tax or legal advice. Clients or potential clients are advised to perform their own due diligence in choosing any investment opportunity as well as selecting any professional to assist them with an investment opportunity.

**PLEASE SIGN AND DATE BELOW.**

	ACCOUNT OWNER SIGNATURE	DATE
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**10. ADDITIONAL STEPS REQUIRED TO SETUP YOUR ACCOUNT**

When the plan has been accepted by Pacific Premier Trust, the Account Owner will be sent an account establishment confirmation letter showing the account number and account information. Trading may be delayed until a Pacific Premier Trust account number has been assigned and the account has been funded by the Account Owner.

Once you receive your Pacific Premier Trust account number, you can register for online access. To do so, visit [PacificPremierTrust.com/register](https://PacificPremierTrust.com/register), and follow the on-screen instructions.

Your account Fee Payment preference is automatically set to pay your account fees using available cash in your custodial account. Please refer to your IRA Custodial Agreement for more information on Billing & Fee Collection.

Pacific Premier Trust performs the duties of an independent custodian of assets for self-directed individual and business retirement accounts and does not provide investment advice, sell investments or offer any tax or legal advice. Clients or potential clients are advised to perform their own due diligence in choosing any investment opportunity as well as selecting any professional to assist them with an investment opportunity.

**NON-DEPOSIT INVESTMENT PRODUCTS ARE NOT INSURED BY THE FDIC; ARE NOT DEPOSITS OR OTHER OBLIGATIONS OF, OR GUARANTEED BY, THE BANK OR ANY OF ITS DIVISIONS; AND ARE SUBJECT TO INVESTMENT RISKS, INCLUDING POSSIBLE LOSS OF THE PRINCIPAL AMOUNT INVESTED.**

