



# Private Equity Worthless Asset Notification

## IMPORTANT INFORMATION

Please use this form if an investment is deemed worthless and should be removed from a client's account. If the information does not match Pacific Premier Trust's records, or is left blank, the form will be returned to the initiator with an explanation regarding the discrepancy or for completion.

\* Indicates required information

1 ACCOUNT OWNER & ASSET INFORMATION	
ACCOUNT OWNER NAME (FIRST, MI, LAST)*	DATE*
SECURITY NAME*	CUSIP*
ASSET SPONSOR NAME*	SPONSOR CONTACT (PHONE OR EMAIL)*
REASON ASSET IS WORTHLESS*	

## 2 DOCUMENTATION

- Please provide one of the following documents to be used to remove the asset from the account(s). **Note:** Pacific Premier Trust will not deem an asset worthless without one of the below documents. If the asset was paid off, this is not considered a worthless asset.

Letter from General Partner, Managing Member, President or CEO stating the asset is worthless or no longer exists. This will include an explanation as to why the asset/shares are now worthless.

Secretary of State screenshot showing the asset is dissolved, dead, void or cancelled.

**Note:** Recent involuntary actions (those not initiated by the company) may not be enough to remove the asset. An unacceptable status would include, but is not limited to, active or inactive; suspended or forfeited; FTD suspended or FTF forfeited; or merged out.

Final K-1 Statement (Pacific Premier Trust will call to confirm)

Notice of Chapter 7 bankruptcy final court document

## 3 SIGNATURE

- By signing below, I agree that a.) I have not or will not receive funds personally, and b.) I, to the best of my knowledge, know this asset is truly worthless and has no value.

	ACCOUNT OWNER SIGNATURE*	DATE*
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NON-DEPOSIT INVESTMENT PRODUCTS ARE NOT INSURED BY THE FDIC; ARE NOT DEPOSITS OR OTHER OBLIGATIONS OF, OR GUARANTEED BY, THE BANK OR ANY OF ITS DIVISIONS; AND ARE SUBJECT TO INVESTMENT RISKS, INCLUDING POSSIBLE LOSS OF THE PRINCIPAL AMOUNT INVESTED.

Please return this form, along with any supporting documentation, to Pacific Premier Trust's Private Equity Maintenance Department at [www.PacificPremierTrust.com/upload](http://www.PacificPremierTrust.com/upload) or fax to 303.614.7036.

