



Unsecured Note Pre-Investment Checklist

IMPORTANT INFORMATION:

- Appoint a loan servicing agent. Some of the responsibilities of the Loan Servicing Agent are to collect and forward all payments to Pacific Premier Trust, maintain records from all parties, collect past due payments and take all necessary actions to correct a default. 3RD party cannot be the client, client's spouse, disqualified person, or affiliate of the borrower.
- Note that maturity date cannot be longer than 10 years from date of the note.
- Gather the required documents (see below).

All documents should reflect the title or owner to be Pacific Premier Trust Trust, Custodian, FBO (Client Name), IRA (or applicable account type).

REQUIRED DOCUMENTS

Documents Required by Pacific Premier Trust	Source of Documents	
	Pacific Premier Trust	3 RD Party
For All Unsecured Note Investments:		
Unsecured Note Investment Authorization Form	✓	
Loan Servicing Agreement (signed by Agent and Account Owner)	✓	✓
Amortization or Payment Schedule		✓
Copy of Executed Note		✓
If an Individual:		
Original Borrower's signature (must be notarized and Original Note must be received prior to funding)		✓
If a Corporation or Other Entity (LP, LLC, etc.) - Names of documents may vary by state of residence:		
Borrower's Article of incorporation or Operating Agreement		✓
Borrower's Certificate of Good Standing or a printout from the Secretary of State website		✓
If a Transfer-In/Rollover:		
Transfer-in/Rollover Form	✓	✓
Account Statement reflecting the asset (dated within 60 days)		✓
Original Promissory Note from current custodian		✓
Loan Servicing Agreement		✓
Proposed Assignment of Note with Pacific Premier Trust listed as the beneficiary (a Letter of Instruction from the resigning custodian can be used in place of this, contact Pacific Premier Trust for more information)		✓

You can find Pacific Premier Trust forms by visiting www.PacificPremierTrust.com/forms or call Client Services for additional assistance at 800-962-4238.

INVESTMENT PRODUCTS: NOT FDIC INSURED • NO BANK GUARANTEE • MAY LOSE VALUE