



Private Equity Pre-Investment Checklist

IMPORTANT INFORMATION:

- Note that IRAs may only invest in C Corps and Solo(K)s may invest in S or C Corps.
- Gather the required documents (see below).

All documents should reflect the title or owner to be Pacific Premier Trust, Custodian, FBO (Client Name), IRA (or applicable account type).

REQUIRED DOCUMENTS

Documents Required by Pacific Premier Trust	Source of Documents	
	Pacific Premier Trust	3 RD Party
For All Private Equity Investments:		
Private Equity Investment Authorization Form	✓	
Private Equity Investment Notification Form (if not previously reviewed or accepted by Pacific Premier Trust)	✓	
Certificate of Good Standing (or Certification of Registration)		✓
For Corporate Stock:		
Articles of Incorporation/Organization		✓
Offering Memorandum		✓
Subscription Agreement, Stock Purchase Agreement		✓
Bylaws		✓
For a Limited Liability Company (LLC):		
Certification of Organization/Articles of Organization		✓
Operating Agreement		✓
Subscription Agreement (if applicable)		✓
Offering Memorandum		✓
For a Limited Partnership (LP):		
Certificate of Partnership/Articles of Organization		✓
Limited Partnership Agreement		✓
Subscription Agreement (if applicable)		✓
Offering Memorandum		✓

You can find Pacific Premier Trust forms by visiting www.PacificPremierTrust.com/forms or call Client Services for additional assistance at 800-962-4238.

INVESTMENT PRODUCTS: NOT FDIC INSURED • NO BANK GUARANTEE • MAY LOSE VALUE